

SubFI 531. (amended) A method of treating a hydrocarbon containing formation in situ, comprising:  
providing heat from one or more heaters to at least a portion of the formation;  
allowing the heat to transfer from the one or more heaters to a part of the formation;  
controlling a pressure and a temperature in at least a majority of the part of the formation,  
wherein the pressure is controlled as a function of temperature, or the temperature is controlled  
as a function of pressure, and wherein the controlled pressure is at least about 2.0 bars absolute;  
and  
producing a mixture from the formation.

DI 532. (amended) The method of claim 531, wherein the one or more heaters comprise at least  
two heaters, and wherein superposition of heat from at least the two heaters pyrolyzes at least  
some hydrocarbons in the part of the formation.

SubFI 533. (amended) The method of claim 531, further comprising controlling formation  
conditions, wherein controlling formation conditions comprises maintaining a temperature in the  
part of the formation in a pyrolysis temperature range from about 270 °C to about 400 °C.

534. (amended) The method of claim 531, wherein at least one of the heaters comprises an  
electrical heater.

535. (amended) The method of claim 531, wherein at least one of the heaters comprises a  
surface burner.

536. (amended) The method of claim 531, wherein at least one of the heaters comprises a  
flameless distributed combustor.

537. (amended) The method of claim 531, wherein at least one of the heaters comprises a  
natural distributed combustor.

Sub F17  
539. ~~(amended)~~ The method of claim 531, wherein providing heat from the one or more heaters to at least the portion of the formation comprises:

D2  
heating a selected volume ( $V$ ) of the hydrocarbon containing formation from the one or more heaters, wherein the formation has an average heat capacity ( $C_v$ ), and wherein the heating pyrolyzes at least some hydrocarbons in the selected volume of the formation; and

wherein heating energy/day ( $P_{wr}$ ) provided to the selected volume is equal to or less than  $h * V * C_v * \rho_B$ , wherein  $\rho_B$  is formation bulk density, and wherein an average heating rate ( $h$ ) of the selected volume is about 10 °C/day.

Sub F17  
D3  
541. ~~(amended)~~ The method of claim 531, wherein allowing heat to transfer from the one or more heaters increases a thermal conductivity of at least a portion of the part of the formation to greater than about 0.5 W/(m °C).

Sub F17  
D4  
554. ~~(amended)~~ The method of claim 531, wherein the produced mixture comprises a non-condensable component, wherein the non-condensable component comprises molecular hydrogen, wherein the molecular hydrogen is greater than about 10 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure, and wherein the molecular hydrogen is less than about 80 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure.

Sub F17  
D5  
558. ~~(amended)~~ The method of claim 531, further comprising controlling formation conditions to produce a mixture of condensable hydrocarbons and H<sub>2</sub>, wherein a partial pressure of H<sub>2</sub> in the mixture is greater than about 0.5 bar.

Sub F17  
D6  
560. ~~(amended)~~ The method of claim 531, further comprising altering a pressure in the formation to inhibit production of hydrocarbons from the formation having carbon numbers greater than about 25.

561. ~~(amended)~~ The method of claim 531, further comprising recirculating a portion of hydrogen from the mixture into the formation.

D6 562. (amended) The method of claim 531, further comprising:  
providing hydrogen (H<sub>2</sub>) to the part of the formation to hydrogenate hydrocarbons in the  
part of the formation; and  
heating a portion of the part of the formation with heat from hydrogenation.

---

Sub F17 564. (amended) The method of claim 531, wherein allowing the heat to transfer increases a  
permeability of a majority of the part of the formation to greater than about 100 millidarcy.

D7 565. (amended) The method of claim 531, wherein allowing the heat to transfer increases a  
permeability of a majority of the part of the formation such that a permeability of the majority of  
the part of the formation is substantially uniform.

---

Sub F17 568. (amended) The method of claim 531, further comprising providing heat from heaters to  
at least a portion of the formation, wherein the heaters are located in the formation in a unit of  
heaters, and wherein the unit of heaters comprises a triangular pattern.

D8 569. (amended) The method of claim 531, further comprising providing heat from heaters to  
at least a portion of the formation, wherein the heaters are located in the formation in a unit of  
heaters, wherein the unit of heaters comprises a triangular pattern, and wherein a plurality of the  
units are repeated over an area of the formation to form a repetitive pattern of units.

570. (amended) A method of treating a hydrocarbon containing formation in situ, comprising:  
providing heat from one or more heaters to at least a portion of the formation;  
allowing the heat to transfer from the one or more heaters to a part of the formation to  
raise an average temperature in the part of the formation to, or above, a temperature that will  
pyrolyze hydrocarbons in the part of the formation;  
producing a mixture from the formation; and  
controlling API gravity of the produced mixture to be greater than about 25 degrees API  
by controlling average pressure and average temperature in the part of the formation such that the

Sub F17  
D8  
average pressure in the part of the formation is greater than the pressure ( $p$ ) set forth in the following equation for an assessed average temperature ( $T$ ) in the part of the formation:

$$p = e^{[-44000/T + 67]}$$

where  $p$  is measured in psia and  $T$  is measured in Kelvin.

Sub F17  
D9  
573. (amended) The method of claim 570, wherein the one or more heaters comprise at least two heaters, and wherein superposition of heat from at least the two heaters pyrolyzes at least some hydrocarbons in the part of the formation.

574. (amended) The method of claim 570, wherein controlling the average temperature comprises maintaining a temperature in the part of the formation in a pyrolysis temperature range from about 270 °C to about 400 °C.

575. (amended) The method of claim 570, wherein at least one of the heaters comprises an electrical heater.

576. (amended) The method of claim 570, wherein at least one of the heaters comprises a surface burner.

577. (amended) The method of claim 570, wherein at least one of the heaters comprises a flameless distributed combustor.

578. (amended) The method of claim 570, wherein at least one of the heaters comprises a natural distributed combustor.

579. (amended) The method of claim 570, further comprising controlling a temperature in at least a majority of the part of the formation, wherein the pressure is controlled as a function of temperature, or the temperature is controlled as a function of pressure.

Sub #17  
581. (amended) The method of claim 570, wherein providing heat from the one or more heaters to at least the portion of the formation comprises:

D16  
heating a selected volume ( $V$ ) of the hydrocarbon containing formation from the one or more heaters, wherein the formation has an average heat capacity ( $C_v$ ), and wherein the heating pyrolyzes at least some hydrocarbons in the selected volume of the formation; and

wherein heating energy/day ( $P_{wr}$ ) provided to the selected volume is equal to or less than  $h * V * C_v * \rho_B$ , wherein  $\rho_B$  is formation bulk density, and wherein an average heating rate ( $h$ ) of the selected volume is about 10 °C/day.

Sub #17  
D11  
583. (amended) The method of claim 570, wherein providing heat from the one or more heaters increases a thermal conductivity of at least a portion of the part of the formation to greater than about 0.5 W/(m °C).

Sub #17  
D12  
595. (amended) The method of claim 570, wherein the produced mixture comprises a non-condensable component, wherein the non-condensable component comprises molecular hydrogen, wherein the molecular hydrogen is greater than about 10 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure, and wherein the molecular hydrogen is less than about 80 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure.

Sub #17  
D13  
598. (amended) The method of claim 570, further comprising controlling formation conditions to produce a mixture of condensable hydrocarbons and  $H_2$ , wherein a partial pressure of  $H_2$  in the mixture is greater than about 0.5 bar.

Sub #17  
D14  
600. (amended) The method of claim 570, further comprising altering a pressure in the formation to inhibit production of hydrocarbons from the formation having carbon numbers greater than about 25.

601. (amended) The method of claim 570, further comprising recirculating a portion of hydrogen from the mixture into the formation.

SUB #17  
D14

602. (amended) The method of claim 570, further comprising:  
providing hydrogen ( $H_2$ ) to the part of the formation to hydrogenate hydrocarbons in the  
part of the formation; and  
heating a portion of the part of the formation with heat from hydrogenation.

SUB #17  
D15

604. (amended) The method of claim 570, wherein allowing the heat to transfer increases a  
permeability of a majority of the part of the formation to greater than about 100 millidarcy.

605. (amended) The method of claim 570, wherein allowing the heat to transfer increases a  
permeability of a majority of the part of the formation such that the permeability of the majority  
of the part of the formation is substantially uniform.

SUB #17  
D16

608. (amended) The method of claim 570, further comprising providing heat from heaters to  
at least a portion of the formation, wherein the heaters are located in the formation in a unit of  
heaters, and wherein the unit of heaters comprises a triangular pattern.

609. (amended) The method of claim 570, further comprising providing heat from heaters to  
at least a portion of the formation, wherein the heaters are located in the formation in a unit of  
heaters, wherein the unit of heaters comprises a triangular pattern, and wherein a plurality of the  
units are repeated over an area of the formation to form a repetitive pattern of units.

610. (amended) A method of treating a hydrocarbon containing formation in situ, comprising:  
providing heat to at least a portion of a hydrocarbon containing formation such that a  
temperature ( $T$ ) in a substantial part of the heated portion exceeds  $270^\circ C$  and hydrocarbons are  
pyrolyzed in the heated portion of the formation;

controlling a pressure ( $p$ ) in at least a substantial part of the heated portion of the  
formation;

wherein  $p_{bar} > e^{[(A/T) + B - 2.6744]}$ ;

wherein  $p$  is the pressure in bar absolute and  $T$  is the temperature in degrees K, and  $A$  and

D16

B are parameters that are larger than 10 and are selected in relation to the characteristics and composition of the hydrocarbon containing formation and on the required olefin content and carbon number of the pyrolyzed hydrocarbon fluids; and  
producing pyrolyzed hydrocarbon fluids from the heated portion of the formation.

---

D17-

623. (amended) A method of treating a hydrocarbon containing formation in situ, comprising:  
providing heat from one or more heaters to at least a portion of the formation;  
allowing the heat to transfer from the one or more heaters to a part of the formation to raise an average temperature in the part of the formation to, or above, a temperature that will pyrolyze hydrocarbons in the part of the formation;  
producing a mixture from the formation; and  
controlling a weight percentage of olefins of the produced mixture to be less than about 20 % by weight by controlling average pressure and average temperature in the part of the formation such that the average pressure in the part of the formation is greater than the pressure ( $p$ ) set forth in the following equation for an assessed average temperature ( $T$ ) in the part of the formation:

$$p = e^{[-57000/T + 83]}$$

where  $p$  is measured in psia and  $T$  is measured in Kelvin.

---

D18-

665. (amended) A method of treating a hydrocarbon containing formation in situ, comprising:  
providing heat from one or more heaters to at least a portion of the formation;  
allowing the heat to transfer from the one or more heaters to a part of the formation to raise an average temperature in the part of the formation to, or above, a temperature that will pyrolyze hydrocarbons in the part of the formation;  
producing a mixture from the formation; and  
controlling hydrocarbons having carbon numbers greater than 25 of the produced mixture to be less than about 25 % by weight by controlling average pressure and average temperature in the part of the formation such that the average pressure in the part of the formation is greater than the pressure ( $p$ ) set forth in the following equation for an assessed average temperature ( $T$ ) in the part of the formation:

D18

$p = e^{[-14000/T + 25]}$

where  $p$  is measured in psia and  $T$  is measured in Kelvin.

667. (amended) The method of claim 665, wherein the hydrocarbons having carbon numbers greater than 25 of the produced mixture are controlled to be less than about 15 % by weight, and wherein the equation is:

$$p = e^{[-18000/T + 32]}$$

D19

668. (amended) The method of claim 665, wherein the one or more heaters comprise at least two heaters, and wherein superposition of heat from at least the two heaters pyrolyzes at least some hydrocarbons in the part of the formation.

669. (amended) The method of claim 665, wherein at least one of the heaters comprises an electrical heater.

670. (amended) The method of claim 665, wherein at least one of the heaters comprises a surface burner.

671. (amended) The method of claim 665, wherein at least one of the heaters comprises a flameless distributed combustor.

672. (amended) The method of claim 665, wherein at least one of the heaters comprises a natural distributed combustor.

673. (amended) The method of claim 665, further comprising controlling a temperature in at least a majority of the part of the formation, wherein the pressure is controlled as a function of temperature, or the temperature is controlled as a function of pressure.



D19  
674. (amended) The method of claim 673, wherein controlling the temperature comprises maintaining a temperature in the part of the formation in a pyrolysis temperature range from about 270 °C to about 400 °C.

676. (amended) The method of claim 665, wherein providing heat from the one or more heaters to at least the portion of the formation comprises:

D20  
heating a selected volume ( $V$ ) of the hydrocarbon containing formation from the one or more heaters, wherein the formation has an average heat capacity ( $C_v$ ), and wherein the heating pyrolyzes at least some hydrocarbons in the selected volume of the formation; and

wherein heating energy/day ( $Pwr$ ) provided to the selected volume is equal to or less than  $h \cdot V \cdot C_v \cdot \rho_B$ , wherein  $\rho_B$  is formation bulk density, and wherein an average heating rate ( $h$ ) of the selected volume is about 10 °C/day.

D21  
678. (amended) The method of claim 665, wherein providing heat from the one or more heaters increases a thermal conductivity of at least a portion of the part of the formation to greater than about 0.5 W/(m °C).

D22  
690. (amended) The method of claim 665, wherein the produced mixture comprises a non-condensable component, wherein the non-condensable component comprises molecular hydrogen, wherein the molecular hydrogen is greater than about 10 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure, and wherein the molecular hydrogen is less than about 80 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure.

D23  
693. (amended) The method of claim 665, further comprising controlling formation conditions to produce a mixture of condensable hydrocarbons and H<sub>2</sub>, wherein a partial pressure of H<sub>2</sub> in the mixture is greater than about 0.5 bar.

695.<sup>1</sup> (amended) The method of claim 665, further comprising altering a pressure in the formation to inhibit production of hydrocarbons from the formation having carbon numbers greater than about 25.

D24  
696.<sup>1</sup> (amended) The method of claim 665, further comprising:  
providing hydrogen (H<sub>2</sub>) to the part of the formation to hydrogenate hydrocarbons in the part of the formation; and  
heating a portion of the part of the formation with heat from hydrogenation.

D25  
698. (amended) The method of claim 665, wherein allowing the heat to transfer increases a permeability of a majority of the part of the formation to greater than about 100 millidarcy.

699. (amended) The method of claim 665, wherein allowing the heat to transfer increases a permeability of a majority of the part of the formation such that the permeability of the majority of the part of the formation is substantially uniform.

702. (amended) The method of claim 665, further comprising providing heat from heaters to at least a portion of the formation, wherein the heaters are located in the formation in a unit of heaters, and wherein the unit of heaters comprises a triangular pattern.

D26  
703.<sup>4</sup> (amended) The method of claim 665, further comprising providing heat from heaters to at least a portion of the formation, wherein the heaters are located in the formation in a unit of heaters, wherein the unit of heaters comprises a triangular pattern, and wherein a plurality of the units are repeated over an area of the formation to form a repetitive pattern of units.

704.<sup>1</sup> (amended) A method of treating a hydrocarbon containing formation in situ, comprising:  
providing heat from one or more heaters to at least a portion of the formation;  
allowing the heat to transfer from the one or more heaters to a part of the formation to raise an average temperature in the part of the formation to, or above, a temperature that will pyrolyze hydrocarbons in the part of the formation;

D26

producing a mixture from the formation; and  
controlling an atomic hydrogen to carbon ratio of the produced mixture to be greater than about 1.7 by controlling average pressure and average temperature in the part of the formation such that the average pressure in the part of the formation is greater than the pressure ( $p$ ) set forth in the following equation for an assessed average temperature ( $T$ ) in the part of the formation:

$$p = e^{[-38000/T + 61]}$$

where  $p$  is measured in psia and  $T$  is measured in Kelvin.

5400  
5399. (new) The method of claim 531, further comprising providing H<sub>2</sub> to at least a portion of the formation.

5401  
5400. (new) The method of claim 531, further comprising providing H<sub>2</sub> to at least a portion of the formation to hydrogenate hydrocarbons in the formation.

5402  
5401. (new) The method of claim 570, further comprising providing H<sub>2</sub> to at least a portion of the formation.

5403  
5402. (new) The method of claim 570, further comprising providing H<sub>2</sub> to at least a portion of the formation to hydrogenate hydrocarbons in the formation.

5404  
5403. (new) The method of claim 610, further comprising providing H<sub>2</sub> to at least a portion of the formation.

5405  
5404. (new) The method of claim 610, further comprising providing H<sub>2</sub> to at least a portion of the formation to hydrogenate hydrocarbons in the formation.

5406  
5405. (new) The method of claim 623, further comprising providing H<sub>2</sub> to at least a portion of the formation.

5407  
5406. (new) The method of claim 623, further comprising providing H<sub>2</sub> to at least a portion of

the formation to hydrogenate hydrocarbons in the formation.

Rule 126  
↓  
5407. (new) The method of claim 665, further comprising providing H<sub>2</sub> to at least a portion of the formation.

5408. (new) The method of claim 665, further comprising providing H<sub>2</sub> to at least a portion of the formation to hydrogenate hydrocarbons in the formation.

5409. (new) The method of claim 704, further comprising providing H<sub>2</sub> to at least a portion of the formation.

D27  
5410. (new) The method of claim 704, further comprising providing H<sub>2</sub> to at least a portion of the formation to hydrogenate hydrocarbons in the formation.

5411. (new) A method of treating a hydrocarbon containing formation in situ, comprising:  
providing heat from one or more heaters to at least a portion of the formation;  
allowing the heat to transfer from the one or more heaters to a part of the formation to raise an average temperature in the part of the formation to, or above, a temperature that will pyrolyze hydrocarbons in the part of the formation;  
producing a mixture from the formation; and  
controlling % Fischer Assay of the produced mixture to be about 60% by controlling average pressure and average temperature in the part of the formation such that the average pressure in the part of the formation is greater than the pressure ( $p$ ) set forth in the following equation for an assessed average temperature ( $T$ ) in the part of the formation:

$$p = e^{[-11000/T + 23]}$$

where  $p$  is measured in psia and  $T$  is measured in Kelvin.

5412. (new) The method of claim 5411, wherein the % Fischer Assay of the produced mixture is controlled to be about 70%, and wherein the equation is:

$$p = e^{[-14000/T + 27]}.$$

Rule 126  
5414  
5413. (new) The method of claim 5411, wherein the % Fischer Assay of the produced mixture is controlled to be about 80%, and wherein the equation is:

$$p = e^{[-21000/T + 36]}$$

5415  
5414. (new) The method of claim 5411, wherein the one or more heaters comprise at least two heaters, and wherein superposition of heat from at least the two heaters pyrolyzes at least some hydrocarbons in the part of the formation.

5416  
5415. (new) The method of claim 5411, wherein controlling the average temperature comprises maintaining a temperature in the part of the formation in a pyrolysis temperature range from about 270 °C to about 400 °C.

D27  
5417  
5416. (new) The method of claim 5411, wherein at least one of the heaters comprises an electrical heater.

5418  
5417. (new) The method of claim 5411, wherein at least one of the heaters comprises a surface burner.

5419  
5418. (new) The method of claim 5411, wherein at least one of the heaters comprises a flameless distributed combustor.

5420  
5419. (new) The method of claim 5411, wherein at least one of the heaters comprises a natural distributed combustor.

5421  
5420 (new) The method of claim 5411, further comprising controlling a temperature in at least a majority of the part of the formation, wherein the pressure is controlled as a function of temperature, or the temperature is controlled as a function of pressure.

Rule 126  
↓  
5422  
5421. (new) The method of claim 5411, further comprising controlling the heat such that an average heating rate of the part of the formation is less than about 1 °C per day in a pyrolysis temperature range from about 270 °C to about 400 °C.

5423  
5422. (new) The method of claim 5411, wherein providing heat from the one or more heaters to at least the portion of the formation comprises:

heating a selected volume (V) of the hydrocarbon containing formation from the one or more heaters, wherein the formation has an average heat capacity ( $C_v$ ), and wherein the heating pyrolyzes at least some hydrocarbons in the selected volume of the formation; and

wherein heating energy/day ( $Pwr$ ) provided to the selected volume is equal to or less than  $h \cdot V \cdot C_v \cdot \rho_B$ , wherein  $\rho_B$  is formation bulk density, and wherein an average heating rate ( $h$ ) of the selected volume is about 10 °C/day.

5424  
5423. (new) The method of claim 5411, wherein allowing the heat to transfer comprises transferring heat substantially by conduction.

D29  
5425  
5424. (new) The method of claim 5411, wherein providing heat from the one or more heaters increases a thermal conductivity of at least a portion of the part of the formation to greater than about 0.5 W/(m °C).

5426  
5425. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, and wherein about 0.1 % by weight to about 15 % by weight of the condensable hydrocarbons are olefins.

5427  
5426. (new) The method of claim 5411, wherein the produced mixture comprises non-condensable hydrocarbons, and wherein about 0.1 % by weight to about 15 % by weight of the non-condensable hydrocarbons are olefins.

5428  
5427. (new) The method of claim 5411, wherein the produced mixture comprises non-condensable hydrocarbons, and wherein a molar ratio of ethene to ethane in the non-condensable

hydrocarbons ranges from about 0.001 to about 0.15.

Ref 124  
↓  
5429  
5428. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, and wherein less than about 1 % by weight, when calculated on an atomic basis, of the condensable hydrocarbons is nitrogen.

5430  
5429. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, and wherein less than about 1 % by weight, when calculated on an atomic basis, of the condensable hydrocarbons is oxygen.

5431  
5430. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, and wherein less than about 1 % by weight, when calculated on an atomic basis, of the condensable hydrocarbons is sulfur.

D27  
5432  
5431. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, wherein about 5 % by weight to about 30 % by weight of the condensable hydrocarbons comprise oxygen containing compounds, and wherein the oxygen containing compounds comprise phenols.

5433  
5432. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, and wherein greater than about 20 % by weight of the condensable hydrocarbons are aromatic compounds.

5434  
5433. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, and wherein less than about 5 % by weight of the condensable hydrocarbons comprises multi-ring aromatics with more than two rings.

5435  
5434. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, and wherein less than about 0.3 % by weight of the condensable hydrocarbons are asphaltenes.

5435. (new) The method of claim 5411, wherein the produced mixture comprises condensable hydrocarbons, and wherein about 5 % by weight to about 30 % by weight of the condensable hydrocarbons are cycloalkanes.

5436. (new) The method of claim 5411, wherein the produced mixture comprises a non-condensable component, wherein the non-condensable component comprises molecular hydrogen, wherein the molecular hydrogen is greater than about 10 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure, and wherein the molecular hydrogen is less than about 80 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure.

5437. (new) The method of claim 5411, further comprising controlling formation conditions to produce a mixture of condensable hydrocarbons and H<sub>2</sub>, wherein a partial pressure of H<sub>2</sub> in the mixture is greater than about 0.5 bar.

5438. (new) The method of claim 5411, further comprising providing hydrogen (H<sub>2</sub>) to the part of the formation to hydrogenate hydrocarbons in the part of the formation.

5439. (new) The method of claim 5411, further comprising providing hydrogen (H<sub>2</sub>) to the part of the formation.



**Response To Office Action Mailed January 31, 2003**

**A. Pending Claims**

Claims 531-556, 558-610, 623-625, 665-706, and 5396-5439 are currently pending. Claims 531-537, 539, 541, 554, 558, 560-562, 564, 565, 568-570, 573-579, 581, 583, 595, 598, 600-602, 604, 605, 608-610, 623, 665, 667-674, 676, 678, 690, 693, 695, 696, 698, 699, and 702-704 have been amended. Claims 532-537, 539, 541, 554, 558, 560-562, 564, 565, 568-570, 573-579, 581, 583, 595, 598, 600-602, 604, 605, 608-610, 623, 665, 667-674, 676, 678, 690, 693, 695, 696, 698, 699, and 702-704 have been amended for clarification and/or correction of typographical errors. Claim 557 has been cancelled. Claims 5399-5439 are new. Support for independent claim 5411 may be found at least in Table 2g on page 137 of the Specification.

**B. Submission of Corrected Formal Drawings**

In the Office Action mailed January 31, 2003, the Examiner indicated approval of the proposed drawing corrections filed on March 5, 2002. Applicant herewith submits the corrected formal drawings approved by the Examiner (nine sheets, including FIGS. 23a, 23b, 32, 56, 57, 67, 68, 72, 73, 76, 81a, and 97).

**C. Information Disclosure Statements**

Applicant has not received signed, initialed Forms PTO-1449 (references A1-A256 and B1) submitted with the Information Disclosure Statement mailed on December 17, 2001 (return postcard date stamped received by the USPTO on January 3, 2002). Applicant respectfully requests signed, initialed copies of the above-mentioned Forms PTO-1449. Copies of the originally filed Forms PTO-1449 noted above are enclosed for the Examiner's convenience.

**D. The Specification Does Not Lack Proper Antecedent Basis For The Claimed Subject Matter**

In item 2 of the Office Action, the Examiner states: “The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: No antecedent basis can be found in the specification for the specific pressure-temperature relationship formulas set forth in claims 571 and 572.”

On page 133, line 10 of the originally filed Specification, the equation relating pressure to temperature and parameters “A” and “B” is provided. The second preliminary amendment to the Specification (return postcard date stamped received on March 5, 2002 by the USPTO) provides the previously omitted table headings (“A” and “B”) for Tables 2a, 2b, 2c, 2d, 2e, 2f, and 2g. On page 3 of the second preliminary amendment, Table 2a lists values of  $A = -30864.5$  and  $B = 50.67593$  (rounded to  $A = -31000$  and  $B = 51$  in claim 571) for 30 degrees API and values of  $A = -21718.5$  and  $B = 37.82131$  (rounded to  $A = -22000$  and  $B = 38$  in claim 572) for 35 degrees API. Applicant submits that the Specification has proper antecedent basis for claims 571 and 572. Applicant respectfully requests removal of the rejections of claims 571 and 572.

**E. Provisional Double Patenting Rejection**

The Examiner provisionally rejected claims 531-609, 5396, and 5397 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims of copending U.S. Patent Application Nos.:

09/840,936; 09/840,937; 09/841,000; 09/841,060; 09/841,061; 09/841,127; 09/841,128; 09/841,129; 09/841,130; 09/841,131; 09/841,170; 09/841,193; 09/841,194; 09/841,195; 09/841,238; 09/841,239; 09/841,240; 09/841,283; 09/841,284; 09/841,285; 09/841,286; 09/841,287; 09/841,288; 09/841,289; 09/841,290; 09/841,291; 09/841,292; 09/841,293; 09/841,294; 09/841,295; 09/841,296; 09/841,297; 09/841,298; 09/841,299; 09/841,300; 09/841,301; 09/841,302; 09/841,303; 09/841,304; 09/841,305; 09/841,306; 09/841,307; 09/841,308; 09/841,309; 09/841,310; 09/841,311; 09/841,312; 09/841,429; 09/841,430; 09/841,431; 09/841,432; 09/841,433; 09/841,434; 09/841,435; 09/841,436; 09/841,438;

09/841,439; 09/841,440; 09/841,441; 09/841,442; 09/841,443; 09/841,444; 09/841,445; 09/841,446; 09/841,447; 09/841,448; 09/841,449; 09/841,488; 09/841,489; 09/841,490; 09/841,491; 09/841,492; 09/841,493; 09/841,494; 09/841,495; 09/841,496; 09/841,497; 09/841,498; 09/841,499; 09/841,500; 09/841,501; 09/841,502; 09/841,632; 09/841,633; 09/841,634; 09/841,635; 09/841,636; 09/841,637; 09/841,638; and 09/841,639.

Applicant respectfully traverses the provisional double patenting rejection. Applicant respectfully submits that the omnibus nature of this rejection does not provide Applicant with sufficient detail in which to address such rejection. Applicant also respectfully submits that the rejection is also inconsistent with certain restrictions issued in the above-referenced cases. Applicant respectfully requests reconsideration.

Pursuant to discussion with the Examiner, for the convenience of the Examiner, Applicant will forward copies of allowed claims for the above-referenced cases to the Examiner's Supervisor. Applicant understands that the Examiner's Supervisor will review the allowed claims for the above-referenced cases and then reconsider the double patenting rejection in view of such allowed claims.

The Examiner specifically provisionally rejected claims 531-609, 5396, and 5397 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over copending U.S. Patent Application No. 09/841,430. Upon issuance of a patent for U.S. Patent Application No. 09/841,430 or the present application, or upon both applications being in condition for allowance but for the provisional double patenting rejection, Applicant will provide arguments for the inappropriateness of the double patenting rejection and/or provide a terminal disclaimer for the patent and/or patent applications.

**F. The Claims Are Not Anticipated By Ljungstrom Pursuant To 35 U.S.C. § 102(b), or in the Alternative, Are Not Obvious Over Ljungstrom Pursuant To 35 U.S.C. § 103(a)**

The Examiner rejected claims 531-534, 540, 542-553, 555, 556, 564, and 565 under 35 U.S.C. 102(b) as anticipated by or, in the alternative, obvious under 35 U.S.C. 103(a) over U.S.

Patent No. 2,923,535 to Ljungstrom (hereinafter "Ljungstrom"). Applicant respectfully disagrees with these rejections.

The standard for "anticipation" is one of fairly strict identity. To anticipate a claim of a patent, a single prior source must contain all the claimed essential elements. *Hybritech, Inc. v. Monoclonal Antibodies, Inc.*, 802 F.2d 1367, 231 U.S.P.Q.81, 91 (Fed.Cir. 1986); *In re Donahue*, 766 F.2d 531, 226 U.S.P.Q. 619, 621 (Fed.Cir. 1985). To establish *prima facie* obviousness of a claimed invention, all the claim limitations must be taught or suggested by the prior art. *In re Royka*, 490 F.2d 981 (CCPA 1974), MPEP § 2143.03.

Ljungstrom states:

The temperature in either stage may be controlled depending on the nature of the formation and carbonaceous material, and the products desired, and also on the pressure maintained or permitted to build up, and amount of oxidizing gas introduced. (col. 2, lines 41-45)

Ljungstrom also states:

During the ordinary production conditions the gases and vapors build up a certain superpressure in the shale. The pressures at different points are shown in Table 1 and Figure 12.

All gas outlet valves were then closed. As heat was supplied continuously, gases and vapors were still formed. The only way for the flow of the product out of the shale then was a diffusion towards the surroundings in all directions. When the pressures were measured at the same test points as above it was found that the superpressure in the shale had increased, as shown in Table 2 and Figure 12. The measurements were made about 35 minutes after the valves were closed. (Ljungstrom, col. 5, lines 7-37.)

The above referenced Tables 1 and 2 and Figure 12 of Ljungstrom display pressures ranging from 0.00 to 0.75 atm.

Independent claim 531 has been amended to include features of claim 557. Amended claim 531 describes a combination of features including: "wherein the controlled pressure is at

least about 2.0 bars absolute”. Ljungstrom appears to teach or suggest the production of gases and vapors at field pressures at or below about 0.75 atm. Ljungstrom does not appear to teach or suggest at least the above-quoted feature of claim 531. Applicant respectfully requests removal of the rejection of claim 531 and the claims dependent thereon.

If an independent claim is nonobvious under 35 U.S.C. 103, then any claim depending therefrom is nonobvious. *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988). Applicant submits, in addition, that many of the claims dependent on claim 531 are separately patentable.

The Examiner states:

Regarding claims 542-553, 555 and 556, it is deemed that the myriad hydrocarbon product mixtures recited in these claims would necessarily or obviously occur in carrying out the heating process of Ljungstrom, i.e., the precise composition of the product fluids is seen as dictated by the type of coal or oil shale naturally occurring in the particular hydrocarbon formation actually encountered in the field. Moreover, it would be an obvious matter of choice to operate the Ljungstrom process to minimize what would be considered refinery contaminants, such as sulfur, nitrogen and/or oxygen in the product mixtures. Similarly, it would be obvious to reduce or minimize the amount of asphaltenes in the product mixtures for optimum downstream refining. Also, in the event that the particular coal deposit encountered yields ammonia gas, it would be an obvious expedient to utilize it in a commercial process such as fertilizer production.

The fact that a certain result or characteristic may occur or be present in the prior art is not sufficient to establish the inherency of that result or characteristic. *In re Rijckaert*, 9 F.3d 1531, 1534, 28 USPQ2d 1955, 1957 (Fed. Cir. 1993). “To establish inherency, the extrinsic evidence ‘must make clear that the missing descriptive matter is necessarily present in the thing described in the reference, and that it would be so recognized by persons of ordinary skill. Inherency, however, may not be established by probabilities or possibilities. The mere fact that a certain thing may result from a given set of circumstances is not sufficient.’” *In re Robertson*, 169 F.3d 743, 745, 49 USPQ2d 1949, 1950-51 (Fed. Cir. 1999). In relying upon the theory of inherency, the Examiner must provide a basis in fact and/or technical reasoning to reasonably

support the determination that the allegedly inherent characteristic necessarily flows from the teachings of the applied prior art. *Ex parte Levy*, 17 USPQ2d 1461, 1464 (Bd. Pat. App. & Inter. 1990).

Applicant submits that the product mixtures recited in claims 542-553, 555, and 556 would not necessarily or obviously be producible by carrying out the heating process of Ljungstrom. The product mixtures recited in claims 542-553, 555, and 556 may be produced by controlling and/or modifying formation conditions during treatment to produce the selected results recited in the claims. Applicant respectfully requests removal of the rejections of claims 542-553, 555, and 556.

The Examiner states: "The heating process of Ljungstrom also causes an increase in permeability of the hydrocarbon formation (note col. 2, lines 1-24). It is further deemed that such permeability increase will inherently or obviously be substantially uniform, as called for in claim 565, e.g. during an overall field heating process, as illustrated in Figures 2-5. Such permeability increase is deemed to necessarily or inherently encompass an increase to 'greater than about 100 millidarcy', as called for in claim 564; alternatively, to increase the permeability to greater than 100 millidarcy would have been an obvious matter of choice in order to ensure adequate fluid flow through the formation."

Ljungstrom states: "The pressure distribution around the zone, where the pressure is created (by chemical reactions), was measured. The pressure drop was different in different directions, showing a different porosity and permeability for the gas flow." (Ljungstrom, col. 5, lines 56-60)

Amended claim 564 describes a combination of features including: "wherein allowing the heat to transfer increases a permeability of a majority of the part of the formation to greater than about 100 millidarcy." Amended claim 565 describes a combination of features including: "wherein allowing the heat to transfer increases a permeability of a majority of the part of the formation such that the permeability of the majority of the part of the formation is substantially uniform."

Ljungstrom appears to teach or suggest different permeabilities in the formation depending on the extent of chemical reaction. Ljungstrom does not appear to teach or suggest at least the above-quoted features of claim 564 and 565. Applicant respectfully requests removal of the rejections of claims 564 and 565.

**G. The Claims Are Not Obvious Over Ljungstrom Pursuant To 35 U.S.C. § 103(a)**

The Examiner rejected claims 539, 541, 560, 566, 567, and 5396 under 35 U.S.C. 103(a) as obvious over Ljungstrom. Applicant respectfully disagrees with these rejections.

In item 8 of the Office Action, the Examiner states: “The precise heating rate recited in claim 539 is deemed obvious matters of choice or design, especially in carrying out the embodiment in Ljungstrom of controlling and/or maintaining the temperature in the coal or oil shale formation within a specific operating range (col. 2, lines 25-48).”

Amended claim 539 describes a combination of features including: “wherein heating energy/day ( $Pwr$ ) provided to the selected volume is equal to or less than  $h \cdot V \cdot C_v \cdot \rho_B$ , wherein  $\rho_B$  is formation bulk density, and wherein an average heating rate ( $h$ ) of the selected volume is about 10 °C/day.” Applicant submits that Ljungstrom does not appear to teach or suggest using a desired heating rate to calculate a maximum average heating energy/day to be applied to a selected volume of a formation. Applicant respectfully requests removal of the rejection of claim 539.

The Examiner states: “The thermal conductivity recited in claim 541 is deemed an obvious matter of choice or design based on, e.g., the quality and type of the coal formation present and/or the matrix characteristics of the particular coal formation encountered in the field.”

Amended claim 541 describes a combination of features including: “wherein allowing

the heat to transfer from the one or more heaters increases a thermal conductivity of at least a portion of the part of the formation to greater than about  $0.5 \text{ W/(m } ^\circ\text{C)}$ .” Applicant submits that allowing heat to transfer from the one or more heaters to increase a thermal conductivity of a portion of a formation to greater than about  $0.5 \text{ W/(m } ^\circ\text{C)}$  is unexpected based on literature in the art. For example, Applicant’s Specification states:

Certain embodiments described herein will in many instances be able to economically treat formations that were previously believed to be uneconomical. Such treatment will be possible because of the surprising increases in thermal conductivity and thermal diffusivity that can be achieved with such embodiments. These surprising results are illustrated by the fact that prior literature indicated that certain coal formations exhibited relatively low values for thermal conductivity and thermal diffusivity when heated. For example, in government report No. 8364 by J. M. Singer and R. P. Tye entitled "Thermal, Mechanical, and Physical Properties of Selected Bituminous Coals and Cokes," U.S. Department of the Interior, Bureau of Mines (1979), the authors report the thermal conductivity and thermal diffusivity for four bituminous coals. This government report includes graphs of thermal conductivity and diffusivity that show relatively low values up to about  $400 \text{ } ^\circ\text{C}$  (e.g., thermal conductivity is about  $0.2 \text{ W/(m } ^\circ\text{C)}$  or below, and thermal diffusivity is below about  $1.7 \times 10^{-3} \text{ cm}^2/\text{s}$ ). This government report states that "coals and cokes are excellent thermal insulators."

In contrast, in certain embodiments described herein coal may be treated such that the thermal conductivity and thermal diffusivity are significantly higher (e.g., thermal conductivity at or above about  $0.5 \text{ W/(m } ^\circ\text{C)}$  and thermal diffusivity at or above  $4.1 \times 10^{-3} \text{ cm}^2/\text{s}$ ) than would be expected based on previous literature such as government report No. 8364. If treated as described in certain embodiments herein, coal does not act as “an excellent thermal insulator.” Instead, heat can and does transfer and/or diffuse into the formation at significantly higher (and better) rates than would be expected according to the literature, thereby significantly enhancing economic viability of treating the formation. (Specification, p. 136, lines 8-29).

Thus, Applicant submits that allowing heat to transfer from one or more of the heaters to increase a thermal conductivity of at least a portion of the part of the formation to greater than about  $0.5 \text{ W/(m } ^\circ\text{C)}$  is not an obvious matter of choice or design. Applicant respectfully requests removal of the rejection of claim 541.

The Examiner states: “The steps of claims 560 and 566, such as controlling the heat or pressure in the formation, are deemed obvious matters of choice or design in carrying out the



process of Ljungstrom, consistent with one of the overall objectives of Ljungstrom to control the heating process (col. 2, lines 25-55).” Applicant respectfully disagrees that the features of claims 560 and 566 are obvious matters of choice or design.

Amended claim 560 describes a combination of features including: “altering a pressure in the formation to inhibit production of hydrocarbons from the formation having carbon numbers greater than about 25.” Claim 566 describes a combination of features including: “controlling the heat to yield greater than about 60 % by weight of condensable hydrocarbons, as measured by Fischer Assay.”

Applicant submits that controlling and/or altering the pressure or heat as recited in claims 560 and 566 provides improved and/or unexpected results based on the prior art. For example, Applicant’s Specification states:

Controlling pressure, heat and/or heating rates of a selected section in a formation may increase production of selected formation fluids. For example, the amount and/or rate of heating may be controlled to produce formation fluids having an American Petroleum Institute (“API”) gravity greater than about 25. Heat and/or pressure may be controlled to inhibit production of olefins in the produced fluids.

Controlling formation conditions to control the pressure of hydrogen in the produced fluid may result in improved qualities of the produced fluids. In some embodiments it may be desirable to control formation conditions so that the partial pressure of hydrogen in a produced fluid is greater than about 0.5 bar absolute, as measured at a production well. (Specification, p. 13, line 28 - p. 14, line 7).

Applicant’s Specification further discloses:

In an embodiment, a pressure within a heated portion of the formation may surprisingly increase the quality of relatively high quality pyrolyzation fluids, the quantity of relatively high quality pyrolyzation fluids, and/or vapor phase transport of the pyrolyzation fluids within the formation. Increasing the pressure often permits production of lower molecular weight hydrocarbons since such lower molecular weight hydrocarbons will more readily transport in the vapor phase in the formation. Generation of lower molecular weight hydrocarbons (and corresponding increased vapor phase transport) is believed to be due, in part, to autogenous generation and reaction of hydrogen within a

portion of the coal formation. For example, maintaining an increased pressure may force hydrogen generated in the heated portion into a liquid phase (e.g. by dissolving). In addition, heating the portion to a temperature within a pyrolysis temperature range may pyrolyze at least some of the hydrocarbons within the formation to generate pyrolyzation fluids in the liquid phase. The generated components may include a double bond and/or a radical. H<sub>2</sub> in the liquid phase may reduce the double bond of the generated pyrolyzation fluids, thereby reducing a potential for polymerization of the generated pyrolyzation fluids. In addition, hydrogen may also neutralize radicals in the generated pyrolyzation fluids. Therefore, H<sub>2</sub> in the liquid phase may substantially inhibit the generated pyrolyzation fluids from reacting with each other and/or with other compounds in the formation. In this manner, shorter chain hydrocarbons may enter the vapor phase and may be produced from the formation.

Increasing the formation pressure to increase the amount of pyrolyzation fluids in the vapor phase may significantly reduce the potential for coking within the selected section of the formation. A coking reaction may occur in the liquid phase. Since many of the generated components may be transformed into short chain hydrocarbons and may enter the vapor phase, coking within the selected section may decrease.

Increasing the formation pressure to increase the amount of pyrolyzation fluids in the vapor phase is also beneficial because doing so permits increased recovery of lighter (and relatively high quality) pyrolyzation fluids. In general, pyrolyzation fluids are more quickly produced, with less residuals, when such fluids are in the vapor phase rather than in the liquid phase. Undesirable polymerization reactions also tend to occur more frequently when the pyrolyzation fluids are in the liquid phase instead of the vapor phase. In addition, when pyrolyzation fluids are produced in the vapor phase, fewer production wells/area are needed, thereby reducing project costs. (Specification, p. 119, line 18 through p. 120, line 20).

Thus, Applicant submits that controlling and/or altering the pressure or heat as recited in claims 560 and 566 are not obvious matters of choice or design. Applicant respectfully requests removal of the rejections of claims 560 and 566.

**H. The Claims Are Not Obvious Over Ljungstrom In View of Tsai Pursuant To 35 U.S.C. 103(a)**

The Examiner rejected claims 554, 558, and 559 under 35 U.S.C. 103(a) as obvious over Ljungstrom as applied to claim 531, and further in view of U.S. Patent No. 4,299,285 to Tsai et al. (hereinafter "Tsai"). Applicant respectfully disagrees with these rejections.

The Examiner states:

While Ljungstrom does not disclose the presence of hydrogen in the coal heating production effluent, Tsai et al (col. 5, line 52- col. 6, line 15) clearly discloses that gasification/volatilization products resulting from heating and/or gasifying a coal formation include hydrogen.

Accordingly, it is deemed that the volatilized/gasified coal production effluent produced in the process of Ljungstrom will obviously include a hydrogen component, as taught by Tsai et al, with the precise amount of hydrogen present, as called for in claims 554, 558, deemed an obvious expedient or matter of choice to one of ordinary skill in the art to which the invention pertains, based on, e.g., the actual intended use of the production effluent, such as a feed stream to a synthetic natural gas production facility or as process heat gas, as called for in claims.

Tsai states: "The net result is a combustible product gas comprising carbon monoxide, hydrogen and some methane as its principal combustibles..." (Tsai, col. 5, line 55 – col. 6, line 1) Applicant submits that the Examiner's statement "that the effluent produced in the process of Ljungstrom will obviously include a hydrogen component ... with the precise amount of hydrogen present as called for in claims 554, 558..." is extending the teaching of Tsai.

Amended claim 554 describes a combination of features including: "wherein the non-condensable component comprises molecular hydrogen, wherein the molecular hydrogen is greater than about 10 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure, and wherein the molecular hydrogen is less than about 80 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure." Applicant submits that the combination of the cited art does not appear to teach or suggest the range of molecular hydrogen content by volume percent recited in claim 554. Applicant respectfully requests removal of the rejection of claim 554.

Amended claim 558 describes a combination of features including: "controlling formation conditions to produce a mixture of condensable hydrocarbons and H<sub>2</sub>, wherein a partial pressure of H<sub>2</sub> in the mixture is greater than about 0.5 bar." Applicant submits that the

combination of the cited art does not appear to teach or suggest controlling formation conditions to produce a mixture from the formation, wherein a partial pressure of H<sub>2</sub> in the mixture is greater than about 0.5 bar. Applicant respectfully requests removal of the rejection of claim 558.

**I. The Claims Are Not Obvious Over Ljungstrom In View of Justheim Pursuant To 35 U.S.C. 103(a)**

The Examiner rejected claims 554, 558, 559, 561, and 562 under 35 U.S.C. 103(a) as obvious over Ljungstrom as applied to claim 531, and further in view of U.S. Patent No. 3,766,982 to Justheim (hereinafter "Justheim"). Applicant respectfully disagrees with these rejections.

The Examiner states:

Justheim '982 injects hydrogen into the heated coal formation to hydrogenate the volatilized/pyrolyzed hydrocarbons evolved; and the hydrogen provided may further be obtained from production fluids obtained from the coal formation (col. 3, lines 1-9)

Accordingly, it would have been obvious to one of ordinary skill in the art to which the invention pertains, to similarly inject hydrogen into the heated coal formation in the process of Ljungstrom, e.g., in the vicinity of the recovery wellbores, and provide the hydrogen from the production effluent, as taught by Justheim, in order to effect a partial hydroconversion/hydrotreating of the volatilized, pyrolyzed and/or gasified hydrocarbons prior to production in order to render the production effluent more suitable for further refining or above-ground processing/conversion, as called for in claims 561, 562.

Justheim states:

Optimum temperature to be maintained in the hydrogenation zone is between about 1,050°F. and 1,250°F., the higher temperatures between 1,200°F. and 1,250°F. favoring hydrogasification of the surrounding shale to yield greater mobility to the kerogen vapor product. (Justheim, col. 2, lines 63-67)

Ljungstrom states:

The second step (combustion) will usually be at a temperature above that of oil vaporization, for example about 300° C. and may be as high as desired but below that of decomposition of valuable products beyond the thermal and oxidation degree desired. A desirable range will usually be between about 300° C. and 550° C., and more desirably between 300 and 400° C. (Ljungstrom, col. 2, lines 34-41)

Amended claim 554 describes a combination of features including: “wherein the molecular hydrogen is greater than about 10 % by volume of the non-condensable component at 25 °C and one atmosphere absolute pressure, and wherein the molecular hydrogen is less than about 80 % by volume on the non-condensable component at 25 °C and one atmosphere absolute pressure.” Amended claim 558 describes a combination of features including: “wherein a partial pressure of H<sub>2</sub> in the mixture is greater than about 0.5 bar.”

Applicant submits that the Examiner is extending the teaching of Justheim in the rejections of claims 554 and 558 to include a specific content of a component in a produced mixture (e.g., a partial pressure of H<sub>2</sub> in the mixture greater than about 0.5 bar; greater than about 10 % by volume and less than about 80% by volume of the non-condensable component.) Applicant submits that the combination of the cited art does not appear to teach or suggest achieving a specific content of hydrogen in a produced mixture. Applicant respectfully requests removal of the rejections of claim 554 and 558.

**J. The Claims Are Not Anticipated By Elkins Pursuant To 35 U.S.C. § 102(b)**

The Examiner rejected claims 531 and 534 under 35 U.S.C. 102(b) as anticipated by U.S. Patent No. 2,734,579 to Elkins (hereinafter “Elkins”). Applicant respectfully disagrees with these rejections.

The Examiner states: “During part of the heating process, the temperature in the formation is clearly controlled ‘as a function of pressure’, as called for in claim 531, specifically, the temperature is controlled as a function of the injection gas pressure (col. 3, lines 13-47).”

Elkins states: “the compressed air ... is forced into the injection well at pressures of the order of about 250 p.s.i. up to approximately 500 p.s.i., or higher.”

Amended claim 531 describes a combination of features including: “controlling a pressure and a temperature in at least a majority of the part of the formation, wherein the pressure is controlled as a function of temperature, or the temperature is controlled as a function of pressure, and wherein the controlled pressure is at least about 2.0 bars absolute”. As the Examiner states, Elkins appears to control the temperature as a function of the injection gas pressure. Elkins does not appear to control a pressure in at least a majority of the part of the formation. Applicant submits that the Examiner is extending the teaching of Elkins by suggesting that injection gas pressure is equivalent to a pressure in at least a majority of a part of the formation. Applicant respectfully requests removal of the rejections of claim 531 and the claims dependent thereon.

**K. The Claims Are Not Anticipated By Elkins Pursuant To 35 U.S.C. § 102(b), or in the Alternative, Are Not Obvious Over Elkins Pursuant To 35 U.S.C. § 103(a)**

The Examiner rejected claims 533, 542-553, 555, 556, 557, and 564-566 under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Elkins. Applicant respectfully disagrees with these rejections.

The Examiner states: “Regarding claims 542-553, 555 and 556, it is deemed that the myriad hydrocarbon product mixtures recited in these claims would necessarily or obviously occur in carrying out the heating process of Elkins ...” For at least the reasons recited in Section F, Applicant submits that the features of claims 542-553, 555, and 556 are not obvious matters of choice. Applicant respectfully requests removal of the rejections of claims 542-553, 555, and 556.

The Examiner states: “As per claim 566, Elkins (col. 2, lines 69-71) indicates that the region of the tar sands formation in which both the heater and in situ combustion phases have

been carried out 'is completely cleaned of all hydrocarbon and water content'. Accordingly, it is deemed that greater than 60% by weight of the Fischer Assay hydrocarbons will inherently or obviously be recovered, as called for in claim 566." Applicant submits that the Examiner is extending the teaching of Elkins by relating Elkins' above-quoted statement to a numerical value of the Fischer Assay. Elkins does not appear to teach or suggest at least the feature of "controlling the heat to yield greater than about 60 % by weight of condensable hydrocarbons, as measured by the Fischer Assay" of claim 566. Applicant respectfully requests removal of the rejection of claim 566.

**L. The Claims Are Not Obvious Over Elkins Pursuant To 35 U.S.C. § 103(a)**

The Examiner rejected claims 539 and 541 under 35 U.S.C. 103(a) as obvious over Elkins. Applicant respectfully disagrees with these rejections.

The Examiner states: "The precise heating rate recited in claim 539 is deemed obvious matters of choice or design during the phase of the process of Elkins (col. 2, lines 24-68) deploying the well heater(s) based on, e.g., routine experimentation for economic feasibility and/or the characteristics or type of the particular tar sands formation encountered in the field."

Amended claim 539 describes a combination of features including: "wherein heating energy/day ( $Pwr$ ) provided to the selected volume is equal to or less than  $h \cdot V \cdot C_v \cdot \rho_B$ , wherein  $\rho_B$  is formation bulk density, and wherein an average heating rate ( $h$ ) of the selected volume is about 10 °C/day." Applicant submits that Elkins does not appear to teach or suggest using a desired heating rate to calculate a maximum average heating energy/day to be applied to a selected volume of a formation. Applicant respectfully requests removal of the rejection of claim 539.

The Examiner states: "the thermal conductivity recited in claim 541 is deemed an obvious matter of choice or design based on, e.g., the quality and type of the tar sands or solid bituminous material present and/or the matrix characteristics of the particular formation encountered in the field." For at least the reasons recited in Section G, Applicant submits that

the thermal conductivity recited in claim 541 is not an obvious matter of choice or design. Applicant respectfully requests removal of the rejection of claim 541.

**M. The Claims Are Not Obvious Over Elkins In View of Justheim Pursuant To 35 U.S.C. 103(a)**

The Examiner rejected claims 554, 558, 559, 561 and 562 under 35 U.S.C. 103(a) as obvious over Elkins as applied to claim 531 and further in view of Justheim. Applicant respectfully disagrees with these rejections.

The Examiner states: "As per claims 554 and 558, in carrying out the injection of hydrogen into the coal or oil shale formation to effect hydrogenation of the volatilized/pyrolyzed hydrocarbons evolved, in the modified process of Elkins the production fluids actually produced will necessarily or obviously include a partial pressure of hydrogen, with the precise amount thereof deemed an obvious matter of choice or design...."

For at least the reasons cited in Section I, Applicant submits that features of claims 554 and 558 are not an obvious matter of choice or design. Applicant respectfully requests removal of the rejection of claims 554 and 558.

**N. Conclusion**

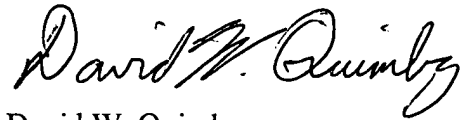
Applicant submits that all claims are in condition for allowance. Favorable reconsideration is respectfully requested.

Applicant believes that no fees are due in association with the filing of this response and the accompanying documents. If an extension of time is required, Applicant hereby requests the appropriate extension of time. If any fees are required or if any fees have been overpaid, please appropriately charge or credit those fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5659-08100/EBM.



Inventors: Stegemeier et al.  
Appl. Ser. No.: 09/841,437  
Atty. Dckt. No.: 5659-08100

Respectfully submitted,

A handwritten signature in cursive script, reading "David W. Quimby".

David W. Quimby  
Reg. No. 39,338

Attorney for Applicant

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 853-8800 (voice)  
(512) 853-8801 (facsimile)

Date: APRIL 30, 2003